

CLINICIAN-ADMINISTERED PTSD SCALE FOR DSM-5

Past Month Version

ED/ Def: 1347 Date: 04/11/2022 Judge: 104 Case: <u>CL-2019-0002911</u>

Version date: 01 May 2015 Reference: Weathers, F. W., Blake, D. D., Schnurr, P. P., Kaloupek, D. G., Marx, B. P., & Keane, T. M. (2015). <i>The Clinician-Administered PTSD Scale for DSM-5</i> (CAPS-5) – Past Month [Measurement instrument]. Available from <u>http://www.ptsd.va.gov/</u> URL: <u>http://www.ptsd.va.gov/professional/</u> assessment/adult-int/caps.asp	Name:AHH Interviewer: Dewn H. Hughus Phild ASPP Study:
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CAPS-5 Past Month

Instructions:

Standard administration and scoring of the CAPS-5 are essential for producing reliable and valid scores and diagnostic decisions. The CAPS-5 should be administered only by qualified interviewers who have formal training in structured clinical interviewing and differential diagnosis, a thorough understanding of the conceptual basis of PTSD and its various symptoms, and detailed knowledge of the features and conventions of the CAPS-5 itself.

Administration

- Identify an index traumatic event to serve as the basis for symptom inquiry. Administer the Life Events Checklist
 and Criterion A Inquiry provided on p. 5, or use some other structured, evidence-based method. The index event
 may involve either a single incident (e.g., "the accident") or multiple, closely related incidents (e.g., "the worst parts
 of your combat experiences").
- Read prompts verbatim, one at a time, and in the order presented, EXCEPT:
 - a. Use the respondent's own words for labeling the index event or describing specific symptoms.
 - b. Rephrase standard prompts to acknowledge previously reported information, but return to verbatim phrasing as soon as possible. For example, inquiry for item 20 might begin: "You already mentioned having problem sleeping. What kinds of problems?"
 - c. If you don't have sufficient information after exhausting all standard prompts, follow up ad lib. In this situation, repeating the initial prompt often helps refocus the respondent.
 - d. As needed, ask for specific examples or direct the respondent to elaborate even when such prompts are not provided explicitly.
- 3. In general, DO NOT suggest responses. If a respondent has pronounced difficulty understanding a prompt it may be necessary to offer a brief example to clarify and illustrate. However, this should be done rarely and only after the respondent has been given ample opportunity to answer spontaneously.
- 4. DO NOT read rating scale anchors to the respondent. They are intended only for you, the interviewer, because appropriate use requires clinical judgment and a thorough understanding of CAPS-5 scoring conventions.
- 5. Move through the interview as efficiently as possible to minimize respondent burden. Some useful strategies:
 - a. Be thoroughly familiar with the CAPS-5 so that prompts flow smoothly.
 - b. Ask the fewest number of prompts needed to obtain sufficient information to support a valid rating.
 - c. Minimize note-taking and write while the respondent is talking to avoid long pauses.
 - d. Take charge of the interview. Be respectful but firm in keeping the respondent on task, transitioning between questions, pressing for examples, or pointing out contradictions.

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Scoring

- 1. As with previous versions of the CAPS, CAPS-5 symptom severity ratings are based on symptom frequency and intensity, except for items 8 (amnesia) and 12 (diminished interest), which are based on amount and intensity. However, CAPS-5 items are rated with a single severity score, in contrast to previous versions of the CAPS which required separate frequency and intensity scores for each item that were either summed to create a symptom severity score or combined in various scoring rules to create a dichotomous (present/absent) symptom score. Thus, on the CAPS-5 the clinician combines information about frequency and intensity before making a single severity rating. Depending on the item, frequency is rated as either the number of occurrences (how often in the past month) or percent of time (how much of the time in the past month). Intensity is rated on a four-point ordinal scale with ratings of Minimal, Clearly Present, Pronounced, and Extreme. Intensity and severity are related but distinct. Intensity refers to the strength of a typical occurrence of a symptom. Severity refers to the total symptom load over a given time period, and is a combination of intensity and frequency. This is similar to the quantity/frequency assessment approach to alcohol consumption. In general, intensity rating anchors correspond to severity scale anchors described below and should be interpreted and used in the same way, except that severity ratings require joint consideration of intensity and frequency. Thus, before taking frequency into account, an intensity rating of Minimal corresponds to a severity rating of Mild / subthreshold, Clearly Present corresponds with Moderate /threshold, Pronounced corresponds with Severe / markedly elevated, and Extreme corresponds with Extreme / incapacitating.
- The five-point CAPS-5 symptom severity rating scale is used for all symptoms. Rating scale anchors should be interpreted and used as follows:
 - 0 Absent The respondent denied the problem or the respondent's report doesn't fit the DSM-5 symptom criterion.
 - 1 Mild / subthreshold The respondent described a problem that is consistent with the symptom criterion but isn't severe enough to be considered clinically significant. The problem doesn't satisfy the DSM-5 symptom criterion and thus doesn't count toward a PTSD diagnosis.
 - 2 Moderate / threshold The respondent described a clinically significant problem. The problem satisfies the DSM-5 symptom criterion and thus counts toward a PTSD diagnosis. The problem would be a target for intervention. This rating requires a minimum frequency of 2 X month or some of the time (20-30%) PLUS a minimum intensity of Clearly Present.
 - 3 Severe / markediy elevated The respondent described a problem that is well above threshold. The problem is difficult to manage and at times overwhelming, and would be a prominent target for intervention. This rating requires a minimum frequency of 2 X week or much of the time (50-60%) PLUS a minimum intensity of Pronounced.
 - 4 Extreme / incapacitating The respondent described a dramatic symptom, far above threshold. The problem is pervasive, unmanageable, and overwhelming, and would be a high-priority target for intervention.
- 3. In general, make a given severity rating only if the minimum frequency and intensity for that rating are both met. However, you may exercise clinical judgment in making a given severity rating if the reported frequency is somewhat lower than required, but the intensity is higher. For example, you may make a severity rating of Moderate / threshold if a symptom occurs 1 X month (instead of the required 2 X month) as long as intensity is rated Pronounced or Extreme (instead of the required Clearly Present). Similarly, you may make a severity rating of Severe / markedly elevated if a symptom occurs 1 X week (instead of the required 2 X week) as long as the intensity is rated Extreme (instead of the required Pronounced). If you are unable to decide between two severity ratings, make the lower rating.

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- 4. You need to establish that a symptom not only meets the DSM-5 criterion phenomenologically, but is also functionally related to the index traumatic event, i.e., started or got worse as a result of the event. CAPS-5 items 1-8 and 10 (reexperiencing, effortful avoidance, amnesia, and blame) are inherently linked to the event. Evaluate the remaining items for trauma-relatedness (TR) using the TR inquiry and rating scale. The three TR ratings are:
 - a. **Definite** = the symptom can clearly be attributed to the index trauma, because (1) there is an obvious change from the pre-trauma level of functioning and/or (2) the respondent makes the attribution to the index trauma with confidence.
 - b. Probable = the symptom is likely related to the index trauma, but an unequivocal connection can't be made. Situations in which this rating would be given include the following: (1) there seems to be a change from the pre-trauma level of functioning, but it isn't as clear and explicit as it would be for a *Definite*; (2) the respondent attributes a causal link between the symptom and the index trauma, but with less confidence than for a rating of *Definite*; (3) there appears to be a functional relationship between the symptom and inherently trauma-linked symptoms such as reexperiencing symptoms (e.g., numbing or withdrawal increases when reexperiencing increases).
 - c. Unlikely = the symptom can be attributed to a cause other than the index trauma because (1) there is an obvious functional link with this other cause and/or (2) the respondent makes a confident attribution to this other cause and denies a link to the index trauma. Because it can be difficult to rule out a functional link between a symptom and the index trauma, a rating of Unlikely should be used only when the available evidence strongly points to a cause other than the index trauma. NOTE: Symptoms with a TR rating of Unlikely should not be counted toward a PTSD diagnosis or included in the total CAPS-5 symptom severity score.
- CAPS-5 total symptom severity score is calculated by summing severity scores for items 1-20. NOTE: <u>Severity</u> scores for the two dissociation items (29 and 30) should NOT be included in the calculation of the total CAPS-5 severity score.
- 6. CAPS-5 symptom cluster severity scores are calculated by summing the individual item severity scores for symptoms contained in a given DSM-5 cluster. Thus, the Criterion B (reexperiencing) severity score is the sum of the individual severity scores for items 1-5; the Criterion C (avoidance) severity score is the sum of items 6 and 7; the Criterion D (negative alterations in cognitions and mood) severity score is the sum of items 8-14; and the Criterion E (hyperarousal) severity score is the sum of items 15-20. A symptom cluster score may also be calculated for dissociation by summing items 29 and 30.
- 7. PTSD diagnostic status is determined by first dichotomizing individual symptoms as Present or Absent, then following the DSM-5 diagnostic rule. A symptom is considered present only if the corresponding item severity score is rated 2=Moderate / threshold or higher. Items 9 and 11-20 have the additional requirement of a trauma-relatedness rating of Definite or Probable. Otherwise a symptom is considered absent. The DSM-5 diagnostic rule requires the presence of least one Criterion B symptom, one Criterion C symptom, two Criterion D symptoms, and two Criterion E symptoms. In addition, Criteria F and G must be met. Criterion F requires that the disturbance has lasted at least one month. Criterion G requires that the disturbance cause either clinically significant distress or functional impairment, as indicated by a rating of 2=Moderate or higher on items 23-25.

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Criterion A:

Exposure to actual or threatened death, serious injury, or sexual violence in one (or more) of the following ways:

- 1. Directly experiencing the traumatic event(s).
- 2. Witnessing, in person, the event(s) as it occurred to others.
- 3. Learning that the traumatic event(s) occurred to a close family member or close friend. In cases of actual or threatened death of a family member or friend, the event(s) must have been violent or accidental.
- 4. Experiencing repeated or extreme exposure to aversive details of the traumatic event(s) (e.g., first responders collecting human remains; police officers repeatedly exposed to details of child abuse). Note: Criterion A4 does not apply to exposure through electronic media, television, movies, or pictures, unless this exposure is work related.

[Administer Life Events Checklist or other structured trauma screen]

I'm going to ask you about the stressful experiences questionnaire you filled out. First I'll ask you to tell me a little bit about the event you said was the worst for you. Then i'll ask how that event may have affected you over the past month. In general I don't need a lot of information – just enough so I can understand any problems you may have had. Please let me know if you find yourself becoming upset as we go through the questions so we can slow down and talk about it. Also, let me know if you have any questions or don't understand something. Do you have any questions before we start?

The event you said was the worst was (EVENT). What I'd like for you to do is briefly describe what happened.

What happened? (How old were you? How were you involved? Who else was involved? Was anyone seriously injured or killed? Was anyone's life in danger? How many times did this happen?)	Exposure type: Experienced Witnessed Learned about Exposed to aversive details Life threat? NO (YES) (self other) Serious injury? NO (YES) (self other) Sexual-violence? NO (YES) (self other) Criterion A met? NO PROBABLE (YES)
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For the rest of the interview, I want you to keep (EVENT) in mind as I ask you about different problems it may have caused you. You may have had some of these problems before, but for this interview we're going to focus just on the past month. For each problem I'll ask if you've had it in the past month, and if so, how often and how much it bothered you.

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Criterion B:

Presence of one (or more) of the following intrusion symptoms associated with the traumatic event(s), beginning after the traumatic event(s) occurred:

Item 1 (B1): Recurrent, involuntary, and intrusive distressing memories of the traumatic event(s). Note: In children older than 6 years, repetitive play may occur in which themes or aspects of the traumatic event(s) are expressed.

chudthood ! In the past month, have you had any unwanted memories of (EVENT) **0** Absent while you were awake, so not counting dreams? (Rate 0=Absent if only during dreams) when I have to talk about it - Have to thunk about the of this are - freque authority to me + I thunk - even not How does it happen that you start remembering (EVENT)? 5. I dont 1 Mild / subthreshold abert charl -Jys - apthrop the way that 2)Moderate / threshold Soul media - cronde- a saying the war (even little) Kethy: 3 Severe / markedly elevated [If not clear:] (Are these unwanted memories, or are you thinking about 21 (EVENT) on purpose?) (Rate 0= Absent unless perceived as involuntary and intrusive) Sometime I Wave to - Wa wav - but alst-wo Iamanaud 4 Extreme / incapacitating JU)den think Fart of Shinny Isinny How much do these memories bother you? brain limbayat Key rating dimensions = Hoop Are you able to put them out of your mind and think about something frequency / intensity of distress I don't have The mac getting in the wound + once Itm- comptime us - bot times no- + stuis - I breagle else? Moderate = at least 2 X month / distress clearly present(some [If not clear:] (Overall, how much of a problem is this for you? How so?) ewayo difficulty dismissing memories marine use this Severe = at least 2 X week/ Circle: Distress = Minimal **Clearly Present** Pronounced Extreme pronounced distress, considerable bunnel difficulty dismissing memories How often have you had these memories in the past month? · Everytime I will anto a movie CUI have to explain musel negotiate - Duore # of times to minde me not intro patter to explain muself negotiate - public about Vis-but the good turn to to - divert don't invite me - divit publich about then then good turn to to - divit invite me - divit publich about then the good turn to to - divit in les action Remandance of the budder - Ime + I know while is to I thunk about them 2 (B2): Recurrent distressing dreams in which the content and/or affect of the dream are related to the event(s). chicknow In the past month, have you had any unpleasant dreams about (EVENT)? **O** Absent wake up anot pawi-I dant Describe a typical dream. (What happens?) 1 Mild/subthreshold Know where I am [If not clear.] (Do they wake you up?) Sometimes (2) Moderate / threshold (If yes:) (What do you experience when you wake up? How long does it take 3 Severe / markedly elevated -ylareblc E-the you to get back to sleep?) 4 Extreme / incapacitating [If reports not returning to sleep:] (How much sleep do you lose?) the THE Key rating dimensions = How much do these dreams bother you? frequency / intensity of distress Moderate # at least 2 X month / **Clearly Present** Circle: Distress = distress clearly present, less than Minimal Pronounced Extreme How often have you had these dreams in the past month? # of times 1 hour sleep loss Severe = at least 2 X week / pronounced distress, more than 1 can't ditend nucet hour sleep loss - being not - and I want survive this - I need to run - Inalways trying to get pand I cant National Center for PTSD CAPS-5 Past Month (1 May 2015) Page 5 of 20 Johnny . month him-that contine -Road april - that's hard too

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Item 3 (B3): Dissociative reactions (e.g., flashbacks) in which the individual feels or acts as if the traumatic event(s) were recurring. (Such reactions may occur on a continuum, with the most extreme expression being a complete loss of awareness of present surroundings.) Note: In children, trauma-specific reenactment may occur in play.

In the past month, have there been times when you <u>suddenly acted</u> or <u>felt</u> as if (EVENT) were <u>actually happening</u> again?	O Absent Not in but it in port
[If not clear:] (This is different than thinking about it or dreaming about it – now I'm asking about flashbacks, when you feel like you're actually back at the time of (EVENT), actually reliving it.) How much does it seem as if (EVENT) were happening again? (Are you)	2 Moderate / threshold 3 Severe / markedly elevated
confused about where you actually are?) What do you do while this is happening? (Do other people notice your behavior? What do they say?) How long does it last?	4 Extreme / incapacitating Key rating dimensions = frequency / intensity of dissociation Moderate = at least 2 X month
<u>Circle</u> : Dissociation = Minimal Clearly Present Pronounced Extreme How often has this happened in the past month? * of times hat anyele? Wale up flaghbacks - hum standing over me while sleeply not have see 6.4. on TV Movie my body feels it when filming four same - AgT-rackly bi- fees ward - jame	/ dissociative quality clearly present, may retain some awareness of surroundings but relives event in a manner clearly distinct from thoughts and memories Severe = at least 2 X week / pronounced dissociative quality, reports vivid reliving, e.g., with images, sounds, smells
test catch - jerve attra - carlant get of trageter	

Item 4 (B4): Intense or prolonged psychological distress at exposure to internal or external cues that symbolize or resemble an aspect of the traumatic event(s).

In the past month, have you gotten <u>emotionally upset</u> when <u>something</u>	0 Absent
reminded you of (EVENT)? withet me - I the so manage my braiting - What kinds of reminders make you upset? I get lence - ty to use chateger -	1 Mild / subthreshold
How much do these reminders bother you? Some day's warder than	(2)Moderate / threshold 3 Severe / markedly elevated
Are you able to calm yourself down when this happens? (How long does it take?)	4 Extreme / incapacitating
[If not clear:] (Overall, how much of a problem is this for you? How so?)	Key rating dimensions = frequency / intensity of distress
Circle: Distress = Minimal Clearly Present Pronounced Extreme	Moderate = at least 2 X month / distress clearly present, some difficulty recovering
How often has this happened in the past month? # of times Bally hard to talk about this - rolling hards to talk a miself in that whig -	Severe = at least 2 X week / pronounced distress, considerable difficulty recovering
Rady have to tak about this - rolling house to look a migert without whig - regenering to stail in vel - In Stull trying to set out	

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Item 5 (B5): Marked physiological reactions to internal or external cues that symbolize or resemble an aspect of the traumatic event(s).

In the past month, have you had any <u>physical reactions</u> when <u>something</u> . reminded you of (EVENT)? Can you give me some examples? (Does your heaft race or your breathing change? What about sweating or feeling really tense or shaky? Can't down? What kinds of reminders trigger these reactions?	0 Absent 1 Mild/subthreshold 2 Moderate/threshold 3 Severe/markedly elevated preatives 4 Extreme/incapacitating 1 Mild/subthreshold 2 Moderate/threshold 3 Severe/markedly elevated preatives 4 Extreme/incapacitating 1 days booche
Gircle: Physiological reactivity = Minimal Clearly Present Providenced Extreme How often has this happened in the past month? # of times Wat pounding instantly bit eaching really have (friends notice Sounds really get to me tearing up-up guivering Swiedly palms doing thing c instantly bit twitch Mu body limit help bit twitch	Key rating dimensions = frequency / intensity of physiological arousal Non-no raung huad- taung huad- ta

Criterion C:

Persistent avoidance of stimuli associated with the traumatic event(s), beginning after the traumatic event(s) occurred, as evidenced by one or both of the following:

Item 6 (C1): Avoidance of or efforts to avoid distressing memories, thoughts, or feelings about or closely associated with the traumatic event(s).

things be different if you didn't have to avoid these thoughts or feelings?) [Ircle: Avoidance = Minimal Clearly Present Pronolunced Extreme low often in the past month? # of times DD a lot of a Now up but vous methods are active publicly new - other if see + - I hant wight obside a F dust way of a to see +	Key rating dimensions = frequency / intensity of avoidance Moderate =: at least 2 X month / avoidance clearly present Severe = at least 2 X week / pronounced avoidance
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Item 7 (C2): Avoidance of or efforts to avoid external reminders (people, places, conversations, activities, objects, situations) that arouse distressing memories, thoughts, or feelings about or closely associated with the traumatic event(s).

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venu(s).			it went aik mit
in the past	month, have you tried to avoid things	that <u>remind you</u> of	Absent i hudi
(EVENT), lik	ce certain people, places, or situations	Cartingo only responsed	1 Mild/subthreshold No-IC Multices
	of things do you avoid?	and this in a career that there an	2 Moderate / threshold Saw in paren
	effort do you make to avoid these rem or change your activities to avoid them?)	inders? (Do you have to mure promotion and I can's	3 Severe / markedly elevated Sol
	(Overall, how much of a problem is this	for you? How would	4 Extreme / incapacitating
things be	different if you didn't have to avoid thes	se reminders?)	Key rating dimensions = frequency / intensity of
Circle: Avoi	dance = Minimal Clearly Present Pron	ouniced Extreme	avoidance
How often i	in the past month? # of times	CATCE There to walk on experiels -	Moderate = at least 2 X month / mutik
	Crowide We Tweets	effectures	Severe = at least 2 X week /
	Going out like I were to available to the I were to	Jok "- makes me	pronounced avoidance
_	AVGIACENTAIN & Minest & AVGIACENTAIN & Minest "am In D: Ands Think-I don't u	anttomicabout	
Criterio	n D: The glus		

Negative alterations in cognitions and mood associated with the traumatic event(s), beginning or worsening after the traumatic event(s) occurred, as evidenced by two (or more) of the following:

Item 8 (D1): Inability to remember an important aspect of the traumatic event(s) (typically due to dissociative amnesia and not to other factors such as head injury, alcohol, or drugs).

In the past month, have you had <u>difficulty remembering</u> some <u>important</u>	0 Absent childhood
<u>parts</u> of (EVENT)? (Do you feel there are gaps in your memory of (EVENT)?)	1 Mild / subthreshold Tream mode
What parts have you had difficulty remembering? <u>Heat have struct</u> during	Source at a severe / markedly elevated
Do you feel you should be able to remember these things? I can the construct	3 Severe / markedly elevated
(If not clear.) (Why do you think you can't? Did you have a head injury during	4 Extreme / incapacitating
(EVENT)? Were you knocked unconscipus? Were you intoxicated from	Key rating dimensions = amount
alcohol or drugs?) (Rate 0=Absent if due to head injury or loss of consciousness or	of event not recalled / intensity
intoxication during event)	of inability to recall
(If still not clear.) (Is this just normal forgetting? Or do you think you may	Moderate = at least one
have blocked it out because it would be too painful to remember?) (Rate	important aspect / difficulty
0=Absent if due only to normal forgetting)	remembering clearly present,
Circle: Difficulty remembering = Minimal Cleany Present Pronounced Extreme In the past month, how many of the important parts of (EVENT) have you had difficulty remembering? (What parts do you still remember?) # of important aspects Autor parts do you still remember?) # of important aspects Autor parts do you still remember?) # of important aspects Autor parts do you still remember?) # of important aspects Autor parts do you still remember?) # of important aspects Autor parts do you still remember?) # of important aspects Autor parts do you still remember?) # of important aspects Autor parts do you still remember?) # of important aspects Autor parts do you still remember?) # of important aspects Autor parts do you still remember?) # of important aspects Autor parts do you still remember?) # of important aspects Autor parts do you still remember?) # of important aspects Autor parts do you still remember?) # of important aspects Autor parts do you still remember?) # of important aspects Autor parts do you still remember?) # of important aspects Autor parts do you still remember?) # of important aspects Autor parts do you still remember?)	some recall possible with effort Severe = several important aspects / pronounced difficulty remembering, little recall even with effort

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Item 9 (D2): Persistent and exaggerated negative beliefs or expectations about oneself, others, or the world (e.g., "I am bad,""No one can be trusted,""The world is completely dangerous,""My whole nervous system is permanently ruined").



Item 10 (D3): Persistent, distorted cognitions about the cause or consequences of the traumatic event(s) that lead the individual to blame himself/herself or others.

In the past month, have you blamed yourself for (EVENT) or what happened as a result of it? Tell me more about that. (In what sense do you see yourself as having caused (EVENT)? Is it because of something you did? Or something you think you should have done but didn't? Is it because of something about you in general?) & Court I Stull blame muscul Stull with T bod dare the result of it? Tell me more about that. (In what sense do you what about blaming someone else for (EVENT) or what happened as a result of it? Tell me more about that. (In what sense do you see (OTHERS) as having caused (EVENT)? Is it because of something they did? Or something you think they should have done but didn't?) How much do you blame (YOURSELF OR OTHERS)? How convinced are you that (YOU OR OTHERS) are truit to blame for what happened? (Do other people agree with you? Can you see other ways of thinking about it?) I have to be a det of sett that - encompany events and the set of the not mutation. They have the set of sett that - encompany events and the the not mutation. They have the set of sett that - encompany events and the set of the not mutation. They have the set of sett that - encompany events and the set of the not mutation. They have the set of setter that - encompany events and the set (Rate 0=Absent if only blames perpetiator, it is someone who deliberately caused the event and intended harm) Make Some way but me. Circle: Conviction = Minimal Clearly Pesent Pronounde Extreme	0 Absent 1 Mild / subthreshold 1 Mild / subthreshold 2 Moderate / threshold 3 Severe / markedly elevated 4 Extreme / incapacitating Key rating dimensions = frequency / intensity of blame Moderate = some of the time (20-30%) / distorted blame clearly present, some difficulty considering more realistic beliefs Severe = much of the time (50- 60%) / pronounced distorted blame, considerable difficulty considering more realistic beliefs
<u>Circle:</u> Conviction = Minimal Clearly Present Pronounded Extreme How much of the time in the past month have you felt that way, as a percentage? % of time Hs also have inter other R bleive me- Two the most V blows be about federality	
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Item 11 (D4): Persistent negative emotional state (e.g., fear, horror, anger, guilt, or shame).

In the past month, have you had any <u>strong negative feelings</u> such as fear, horror, anger, guilt, or shame? (In the birm, bit - (In they you's Can you give me some examples? (What negative feelings do you experience?) How strong are these negative feelings? How well are you able to manage them? [If not clear:] (Overall, how much of a problem is this for you? How so?)	0 Absent 1 Mild / subthreshold 2 Moderate / threshold 3 Severe / markedly elevated 4 Extreme / incapacitating Key rating dimensions =
Circle: Negative emotions = Minimal Clearly Present Pronounced Extreme How much of the time in the past month have you felt that way, as a percentage? % of time Did these negative feelings start or get worse after (EVENT)? (Do you think they're related to (EVENT)? How so?) <u>Circle:</u> Trauma-relatedness = Definite Probable Unlikely	requency / intensity of negative emotions Moderate = torne of the time (20- 30%) / negative emotions clearly present, some difficulty managing Severe = much of the time (50-60%) / pronounced negative emotions, considerable difficulty managing

Item 12 (D5): Markedly diminished interest or participation in significant activities.

In the past month, have you been less interested in activities that you used to enjoy? (artany my WMX - Tan't be WMO T was - Talwiys What kinds of things have you lost interest in or don't do as much as you used to? (Anything else?) Why is that? (Rate 0=Absent if diminished participation is due to lack of opportunity, and obysical inability, or developmentally appropriate change in preferred activities) How strong is your loss of interest? (Would you still enjoy (ACTIVITIES) once you got started?) I Can't © out - CYMCB - particip Clircle: Loss of interest = Minima) Clearly Present Pronounced Extreme Overall, in the past month, how many of your usual activities have you been less interested in, as a percentage? % of activities What kinds of things do you still enjoy doing? Did this loss of interest start or get worse after (EVENT)? (Do you think it's related to (EVENT)? How so?) Circle: Trauma-relatedness = Definite Probable Unlikely	 O Absent Mild / subthreshold Moderate / threshold Severe / markedly elevated Extreme / incapacitating Key rating dimensions = percent of activities affected / intensity of loss of interest Moderate = some activities (20-30%) / loss of interest clearly present but still has some enjoyment of activities Severe = many activities (50-60%) / pronounced loss of interest, little interest or participation in activities

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PLAINTIFF'S TRIAL EXHIBIT 1247_11

Item 13 (D6): Feelings of detachment or estrangement from others. In the past month, have you felt distant or cut off from other people? 0 Absent The lost so many friends to this - to the his Mild / subthreshold Tell me more about that. Tell me more about that. to being dragged into this the fair of him How strong are your feelings of being distant of cut off from others? (Who 2 Moderate / threshold do you feel closest to? How many people do you feel comfortable talking with 3 Severe / markedly elevated about personal things?) 4 Extreme / incapacitating Circle: Detachment or estrangement = Clearly Present Minimal Pronounced Extreme Key rating dimensions = frequency / intensity of How much of the time in the past month have you felt that way, as a detachment or estrangement percentage? % of time Moderate = some of the time (20-30%) / feelings of detachment Did this feeling of being distant or cut off start or get worse after clearly present but still feels some (EVENT)? (Do you think it's related to (EVENT)? How so?) interpersonal connection to being devourced Circle: Trauma-relatedness = Definite Probable Unlikely by nut friends to cof him and of thist Severe = much of the time (50ble of Shring 1 much thus his so much so plinked for me i OI Bould , Jal desepartion dut shell have a few good clastic of dut whet 60%) / pronounced feelings of detachment or estrangement thenexfrom most people, may feel close Not saraf Filbertil to only one or two people to love again-affective triel and an ble of 1942 hildmedare that arme art -I Was a million miles avay

from Brance

Item 14 (D7): Persistent inability to experience positive emotions (e.g., inability to experience happiness, satisfaction, or loving feelings).

0)Absent In the past month, have there been times when you had difficulty experiencing positive feelings like love or happiness? 1 Mild/subthreshold Tell me more about that. (What feelings are difficult to experience?) 2 Moderate / threshold How much difficulty do you have experiencing positive feelings? (Are you 3 Severe / markedly elevated still able to experience any positive feelings?) 4 Extreme / incapacitating Circle: Reduction of positive emotions = **Clearly Present** Pronounced Key rating dimensions = Minimal Extreme frequency / intensity of reduction In positive emotions How much of the time in the past month have you felt that way, as a percentage? % of time Moderate = some of the time (20-30%) / reduction of Did this trouble experiencing positive feelings start or get worse after positive emotional experience clearly present but still able (EVENT)? (Do you think it's related to (EVENT)? How so?) to experience some positive Circle: Trauma-relatedness = Definite Probable Unlikely emotions I am have positive feelings - I am saddhad I cant to s.+ orlings I d love, luce sizes in same bedoe Donge-by c of traggers when she moves-Severe = much of the time (50-60%) / pronounced reduction of experience across range of positive emotions part of mois course to be vulnerable to her I winder of I hald back for sean of & hurting me

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Criterion E:

Marked alterations in arousal and reactivity associated with the traumatic event(s), beginning or worsening after the traumatic event(s) occurred, as evidenced by two (or more) of the following:

Item 15 (E1): Irritable behavior and angry outbursts (with little or no provocation) typically expressed as verbal or physical aggression toward people or objects.



Did this behavior start or get worse after (EVENT)? (Do you think it's related to (EVENT)? How so?) <u>Circle</u>: Trauma-relatedness = Definite Probable Unlikely

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been harmed

Severe = at least 2 X week / pronounced risk, actual harm or high probability of harm

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Item 17 (E3): Hypervigilance.



Item 18 (E4): Exaggerated startle response.

In the past month, have you had any strong startle reactions? 0 Absent chudhoas - Reant come up behind me - cant pit that arms around no from back NO What kinds of things made you startle? 1 Mild/subthreshold 2 Moderate / threshold How strong are these startle reactions? (How strong are they compared to how most people would respond? Do you do anything other people would notice?) 3 Severe / markedly elevated - I have hugh knod regarde m How long does it take you to recover? Kicken-not cure why 4 Extreme / Incapacitating firstly aim avour die - I Pronounted Extreme gad land **Clearly Present** Circle: Startle = Minimal Key rating dimensions = "Dint toThat" frequency / intensity of startle How often has this happened in the past month? # of times_ Hit WE What? Moderate = atleast 2 X month Did these startle reactions start or get worse after (EVENT)? (Do you think / startle clearly present, some difficulty recovering) it's related to (EVENT)? How so?) Bildohnnul <u>Circle:</u> Trauma-relatedness = Definite Probable Unlikely Severe = at least 2 X week / NO-hHHA pronounced startle, sustained I have to tell Actual cant sur a vome nunruing gotter arousal, considerable difficulty my founds He whay know how don't when I buck addowning recovering most men- (not so the Z Banca) am 2100 Tellythen - dent grab my shouldes that come up Mostymen hehindres = dent was now ame avourdence -CAPS-5 Past Month (1 May 2015) davit block and conter for PTSD Page 13 of 20

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Item 19 (E5): Problems with concentration.

Can you give me some examples?

In the past month, have you had any problems with concentration?

Are you able to concentrate if you really try?	2 Moderate / threshold
[If not clear:] (Overall, how much of a problem is this for you? How would things he different if you didn't have problems with concentration?)	3 Severe / markedly elevated
things be different if you didn't have problems with concentration?) Circle: Problem concentrating = Minimal Clearly Present Pronounced Extreme How much of the time in the past month have you had problems with concentration, as a percentage? % of time Did these problems with concentration start or get worse after (EVENT)? (Do you think they're related to (EVENT)? How so?) Circle: Trauma-relatedness = Definite Probable Unlikely	4 Extreme / incapacitating Key rating dimensions = frequency / intensity of concentration problems Moderate = some of the time (20-30%) / problem concentrating clearly present, some difficulty but can concentrate with effort Severe = much of the time (50-60%) / pronounced problem concentrating, considerable difficulty even with effort
em 20 (E6): Sleep disturbance (e.g., difficulty falling or staying asleep or restless In the past month, have you had any problems <u>falling</u> or <u>staying</u> asleep? What kinds of problems? (How long does it take you to fall asleep? How often do you wake up in the night? Do you wake up earlier than you want to?)	sleep). 0 Absent 1 Mild / subthreshold 2 Moderate / threshold

when this staff comin p-year

How many total hours do you sleep each night?

How many hours do you think you should be sleeping?

Circle: Problem sleeping = Minimal **Clearly Present** Pronpunged Extreme How often in the past month have you had these sleep problems? # of times

Did these sleep problems start or get worse after (EVENT)? (Do you think they're related to (EVENT)? How so?) Circle: Trauma-relatedness = Definite Probable Unlikely

> draims water me up and wakes me up bedroom door-make aire

- during Johnny+ afer - Wirse offer something to do 2 thisor it s.t. in metra I have to explained laying divin the

Hed water my up ist maccarily this we with - Rain - "Hey -we need to talk"

become burge CAPS-5 Past Month (1 May 2015) most of the bed-fighting on the Side of the bed-fighting one over et. "Our relic over ardyon to to cliep"

0 Absent

1 Mild / subthreshold

start chyne lait phile Ditte cycle - And In Ywar twing to figur out what he's telling a laws -What is to mand to what is he mad it

3 Severe / markedly elevated

Moderate = at least 2 X month / sleep-disturbance clearly present,

clearly longer latency of clear difficulty staying asleep, 30-90 minutes loss of sleep

Severe = at least 2 X week/

90 min to 3 hrs loss of sleep

pronounced sleep disturbance,

considerably longer latency or marked difficulty staying asleep,

4 Extreme / incapacitating

Key rating dimensions = frequency / intensity of sleep problems

"Im going to get my start ble you're serionary CONFIDENTIAL AND ATTORNEYS' EYES ONLY

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Criterion F:

Duration of the disturbance (Criteria B, C, D, and E) is more than 1 month.

Item 21: Onset of symptoms.

[If not clear:] When did you first start having (PTSD SYMPTOMS) you've told me about? (How long after the trauma did they start? More than six months?)

Since being in rel

Item 22: Duration of symptoms.

[If not clear:] How long have these (PTSD SYMPTOMS) lasted altogether?

Since in rel

Total # months duration	-
Duration more than 1 month? NO (FES)	

Total # months delay in onset

NO

YES

With delayed onset (> 6 months)?

Criterion G:

The disturbance causes clinically significant distress or impairment in social, occupational, or other important areas of functioning.

Item 23: Subjective distress.

Overall, in the past month, how much have you been bothered by these (PTSD SYMPTOMS) you've told me about? [Consider distress reported on earlier items]	 0 None 1 Mild, minimal distress 2 Moderate, distress clearly present but still manageable 3 Severe, considerable distress 4 Extreme, incapacitating distress
Item 24: Impairment in social functioning.]

In the past month, have these (PTSD SYMPTOMS) 0 No adverse impact affected your relationships with other people? How 1 Mild impact, minimal impairment in social so? [Consider impairment in social functioning reported on earlier functioning I sometime dent what to see my items] 2 Moderate impact, definite impairment but many Freves aspects of social functioning still intact I can't go out st. I can't be an social media + comment, 3 Severe impact, marked impairment, few aspects of social functioning still intact poet 4 Extreme Impact, little or no social functioning He's alucius theme Lost so wany friende ble of the -

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Item 28: Global improvement.

Rate total overall improvement since the previous rating. Rate the degree of change, whether or not, in your judgment, it is due to treatment.	0 Asymptomatic 1 Considerable improvement
	2 Moderate improvement
	3 Slight improvement
	4 No improvement
	5 Insufficient information

Specify whether with dissociative symptoms: The individual's symptoms meet the criteria for posttraumatic stress disorder, and in addition, in response to the stressor, the individual experiences persistent or recurrent symptoms of either of the following:

Item 29 (1): Depersonalization: Persistent or recurrent experiences of feeling detached from, and as if one were an outside observer of, one's mental processes or body (e.g., feeling as though one were in a dream; feeling a sense of unreality of self or body or of time moving slowly).

<pre>in the past month, have there been times when you felt as if you were</pre>	 Absent Mild / subthreshold Moderate / threshold Moderate / threshold Severe / markedly elevated Extreme / incapacitating Key rating dimensions =
the parted from yourself, like you were watching yourself from the outside	frequency / intensity of
or observing your thoughts and feelings as if you were another person? If inc? (What about feeling as if you were in a dream, even though you were	dissociation Moderate = at least 2 X month
awake? Feeling as if something about you wasn't real? Feeling as if time	/ dissociative quality clearly
was moving more slowly?) If inc? (What about that. If inc? (What about that. If we strong is this feeling? (Do you lose track of where you actually are or	present but transient, retains
what's actually going on?) If ad you do while this is happening? (Do other people notice your	some realistic sense of self and
behavior? What do they say?) If inc? (Was this due to the effects of alcohol or drugs? What about a	awareness of environment Severe = at least 2 X week /
medical condition like seizures?) [Rate 0=Absent if due to the effects of a substance	pronounced dissociative quality,
or another medical condition] If wo ften has this happened in the past month? # of times If this feeling start or get worse after (EVENT)? (Do you think it's related to	marked sense of detachment and
EVENT)? How so?) If you have so? If you have so	unreality

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Item 30 (2): Derealization: Persistent or recurrent experiences of unreality of surroundings (e.g., the world around the individual is experienced as unreal, dreamlike, distant, or distorted).

In the past month, have there been times when things going on around you seemed unreal or very strange and unfamiliar? $J\dot{U}$

[If no:] (Do things going on around you seem like a dream or like a scene from a movie? Do they seem distant or distorted?)

Tell me more about that.

How strong is this feeling? (Do you lose track of where you actually are or what's actually going on?)

What do you do while this is happening? (Do other people notice your behavior? What do they say?)

How long does it last?

Circle: Dissociation = Minimal Clearly Present Pronounced Extreme

[if not clear:] (Was this due to the effects of alcohol or drugs? What about a medical condition like seizures?) [Rate 0=Absent if due to the effects of a substance or another medical condition]

How often has this happened in the past month? # of times ____

Did this feeling start or get worse after (EVENT)? (Do you think it's related to (EVENT)? How so?)

Circle: Trauma-relatedness = Definite Probable Unlikely

0 Absent

- 1 Mild/subthreshold
- 2 Moderate / threshold
- 3 Severe / markedly elevated
- 4 Extreme / incapacitating

Key rating dimensions = frequency / intensity of dissociation

Moderate = at least 2 X month / dissociative quality clearly present but transient, retains some realistic sense of environment

Severe = at least 2 X week / pronounced dissociative quality, marked sense of unreality

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PLAINTIFF'S TRIAL EXHIBIT 1247_19

CAPS-5 SUMMARY SHEET

A. Exposure to actual or threatened death, serious injury, or so	yual violence	
Criterion A met?		0 = NO (1=YES
B. Intrusion symptoms (need 1 for diagnosis)		Past Month
Symptom	Sev	$Sx (Sev \ge 2)?$
(1) B1 – Intrusive memories	2	0=NO ()=YES
(2) B2 – Distressing dreams	2	0 = NO (1) YES
(3) B3 – Dissociative reactions	0	0=NO 1=YES
(4) B4 - Cued psychological distress	2	0 = NO 0= YES
(5) B5 – Cued physiological reactions	2	0=NO
B subtotals	BSev= 8	#B 5x =
C. Avoidance symptoms (need 1 for diagnosis)		Past Month
Symptom	Sev	$Sx(Sev \ge 2)?$
(6) C1 – Avoidance of memories, thoughts, feelings	2	0=NO (1=YES
(7) C2 – Avoidance of external reminders	2	0=NO (1= YES
C subtotals	CSev= 4	#C 5x = '2
D. Cognitions and mood symptoms (need 2 for diagnosis)	(第1) 1 2	Past Month
Symptom	Sev	$Sx(Sev \ge 2)?$
(8) D1 – Inability to recall important aspect of event	2	0=NO (1) YES
(9) D2 – Exaggerated negative beliefs or expectations	1	(0 = NO 1=YES
(10) D3 – Distorted cognitions leading to blame	2	0=NO (1=)YES
(11) D4 - Persistent negative emotional state	2	0=NO (1=)YES
(12) D5 – Diminished interest or participation in activities	(0 NO 1=YES
(13) D6 – Detachment or estrangement from others	1	(0≠NO 1=YES
(14) D7 - Persistent inability to experience positive emotions	Ó	GENO 1=YES
Dsubtotals	DSev= 9	#D Sx = 3
E. Arousal and reactivity symptoms (need 2 for diagnosis)		Past Month
Symptom	Sev	$Sx (Sev \ge 2)?$
(15) E1 – Irritable behavior and angry outbursts	0	Ø≠NO 1=YES
(16) E2 – Reckless or self-destructive behavior	0	0=NO 1=YES
(17) E3 – Hypervigilance	2	0=NO DEYES
(18) E4 – Exaggerated startle response	2	0=NO (1=)YES
(19) E5 – Problems with concentration	1	0=NO 1=YES
(20) E6 – Sleep disturbance	2	0 = NO (1= YES

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PLAINTIFF'S TRIAL EXHIBIT 1247_20

PTSD totals	. 4 · 2 * 4 · · · · · ·	Past Month
Totals	Total Sev	Total # Sx
Sum of subtotals (B+C+D+E)	28	12

F. Duration of disturbance	Current
(22) Duration of disturbance \geq 1 month?	0 = NO (1=)YES

G. Distress or impairment (need 1 for diagnosis)		Past Month
Criterion	Sev	$Cx(Sev \ge 2)?$
(23) Subjective distress	2	0=NO T=YES
(24) Impairment in social functioning	2	0=NO (T=YES
(25) Impairment in occupational functioning	6	(0 = NO 1=YES
G subtotal	s GSev= 4	#GCx= 2_

Global ratings	Past Month
(26) Global validity	0
(27) Global severity	2
(28) Global improvement	-

Dissociative symptoms (need 1 for subtype)		Past Month
Symptom	Sev	$Sx(Sev \ge 2)?$
(29) 1 - Depersonalization	. 0	DE NO 1=YES
(30) 2 – Derealization	0	0-1=YES
Dissociative subtotals	Diss Sev =	#Diss Sx =

PTSD diagnosis	Past Month		
PTSD PRESENT - ALL CRITERIA (A-G) MET?	0 = NO (1=YES		
With dissociative symptoms	€0 = NO 1=YES		
(21) With delayed onset (≥ 6 months)	(0=NO 1=YES		

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